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Citation for published version:

Planells, M, Pizzotti, M, Nichol, GS, Tessore, F & Robertson, N 2014, 'Effect of torsional twist on 2nd order non-linear optical activity of anthracene and pyrene tricyanofuran derivatives', *Physical Chemistry Chemical Physics*, vol. 16, no. 42, pp. 23404-23411. <https://doi.org/10.1039/C4CP03509G>

Digital Object Identifier (DOI):

[10.1039/C4CP03509G](https://doi.org/10.1039/C4CP03509G)

Link:

[Link to publication record in Edinburgh Research Explorer](#)

Document Version:

Peer reviewed version

Published In:

Physical Chemistry Chemical Physics

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Cite as:

Planells, M., Pizzotti, M., Nichol, G. S., Tessore, F., & Robertson, N. (2014). Effect of torsional twist on 2nd order non-linear optical activity of anthracene and pyrene tricyanofuran derivatives. *Physical Chemistry Chemical Physics*, 16(42), 23404-23411.

Manuscript received: 06/08/2014; Accepted: 23/09/2014; Article published: 24/09/2014

Effect of torsional twist on 2nd order non-linear optical activity of anthracene and pyrene tricyanofuran derivatives**

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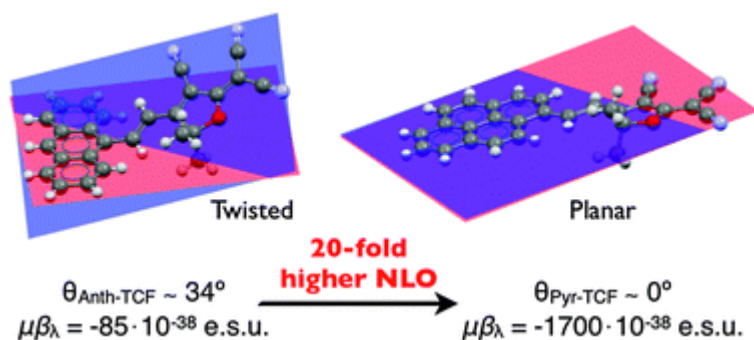
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^[**]MP and NR thank the Engineering and Physical Sciences Research Council (EPSRC) APEX project for financial support. GSN thanks the University of Edinburgh for funding the diffractometer purchase.

Graphical abstract:



ARTICLE

Tricyanofuran (TCF) derivatives attached to both anthracene and pyrene moieties were synthesised and characterised by optical, electrochemical and computational techniques. Both compounds exhibited similar absorption profile as well as electrochemical behaviour, however the pyrene derivative showed 20-fold higher non-linear optical activity measured by the EFISH technique. This huge difference has been assigned to *i)* a lower molar absorption and *ii)* a higher torsion angle for the anthracene derivative, confirmed by both experimental X-Ray diffraction and DFT calculations. Furthermore, we note that the $\mu\theta_{1.907}$ value of -1700×10^{-48} esu recorded for the pyrene derivative in $\text{CHCl}_3/\text{pyridine}$ is remarkable for a NLO chromophore lacking a classical push-pull structure.

Introduction

Compounds showing second-order non-linear optical (NLO) properties are of great interest as molecular building blocks for optical communications, optical data processing and storage, and electro-optical devices.¹⁻⁴ In the last two decades a large research effort has been devoted to the definition of the main features that a molecule must possess in order to show a significant second-order NLO response, and up to now many examples of both organic^{5, 6} and organometallic^{7, 8} NLO chromophores have been reported in the literature, some of which display very remarkable responses.⁹

Their basic structure consists of an electron-donor (D) and an electron-acceptor (A) group interacting through a π -conjugated spacer, giving rise to a D- π -A dipolar push-pull architecture with significant intramolecular charge transfer (ICT) properties, so that a certain extent of charge separation in the ground state can be achieved.

According to Oudar's "two-level" model,^{10, 11} the magnitude of the molecular second order response figure of merit β (the so called second-order polarisability or first order hyperpolarisability) is related to the length of the π -delocalized bridge and to the strength of the donor and the acceptor substituents.

Among the latter, 2-dicyanomethylidene-3-cyano-4,5,5-trimethyl-2,5-dihydrofuran (TCF)¹² and its analogue CF_3 -TCF¹³ proved to be very efficient electron-withdrawing moieties. When linked to aromatic donors such as anilines^{14, 15} or

proaromatic 1,3-dithiole donors¹⁶ they produced NLO-chromophores with very high β values.

Here, we report the synthesis and characterisation of two TCF derivatives containing the polycyclic aromatic moieties anthracene and pyrene (Fig. 1). We discuss the absorption, electrochemical and non-linear optical behaviour of those molecules and correlate their properties with the structure obtained by both X-Ray diffraction and computational methods.

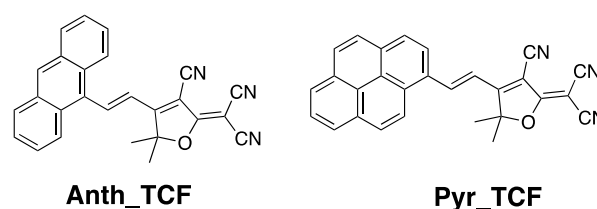


Figure 1. Chemical structures of TCF derivatives used in this study.

Experimental section

Synthetic procedure

Materials. All reagents were purchased from either Sigma-Aldrich or Alfa-Aesar and were used as received without further purification unless otherwise stated. 2-dicyanomethylidene-3-cyano-4,5,5-trimethyl-2,5-dihydrofuran (TCF) was synthesized following the procedure described previously.¹⁷

Synthesis of (E)-2-(4-(2-(anthracen-9-yl)vinyl)-3-cyano-5,5-dimethylfuran-2(5H)-ylidene)malononitrile (Anth_TCF).

Anthracene-9-carbaldehyde (206 mg, 1 mmol) and TCF (219 mg, 1.1 mmol) were placed in a Schlenk tube with 8 mL of ethanol. Triethylamine (13 μ L, 0.1 mmol) was added, and the mixture was stirred under N₂ overnight at reflux temperature. After cooling to room temp., a purple precipitate with metallic green reflections was collected by filtration and washed with cold ethanol (20 mL). Yield 250 mg (64 %). m.p.: 250 °C. ¹H NMR (500 MHz, CDCl₃) δ _H: 8.89 (d, *J* = 16.6, 1H); 8.57 (s, 1H); 8.18 (d, *J* = 8.8, 2H); 8.08 (d, *J* = 8.3, 2H); 7.62 (t, *J* = 7.7, 2H); 7.56 (t, *J* = 7.6, 2H); 7.05 (d, *J* = 16.5, 1H); 1.92 (s, 6H). ¹³C NMR (125 MHz, CDCl₃) δ _C: 175.4; 173.3; 145.1; 131.7; 131.5; 130.1; 129.8; 128.2; 126.1; 124.3; 123.3; 111.7; 110.8; 110.6; 100.1; 98.1; 58.7; 26.5. MS EI (*m/z*): [M]⁺ Calcd for C₂₆H₁₇N₃O: 387.13716; Found: 387.13662. FT-IR (cm⁻¹): 2224, 1560, 1546, 1524, 1377, 1337, 1306, 1240, 1106, 1084, 902, 728, 662. Anal. Calcd for C₂₂H₁₅N₃O: C, 80.60; H, 4.42; N, 10.85; Found: C, 80.44; H, 4.35; N, 10.76.

Synthesis of (E)-2-(3-cyano-5,5-dimethyl-4-(2-(pyren-1-yl)vinyl)furan-2(5H)-ylidene)malononitrile (Pyr_TCF). Pyrene-1-carbaldehyde (691 mg, 3 mmol) and TCF (600 mg, 3 mmol) were placed in a Schlenk tube with 60 mL of ethanol. Triethylamine (42 μ L, 0.3 mmol) was added, and the mixture was stirred under N₂ overnight at reflux temperature. After cooling to room temp., a purple precipitate with metallic green reflections was collected by filtration and washed with cold ethanol (20 mL) and CHCl₃ (20 mL). Yield 699 mg (54 %). m.p.: 330 °C. This molecule is not soluble enough for NMR measurements. MS EI (*m/z*): [M]⁺ Calcd for C₂₈H₁₇N₃O: 411.13661; Found: 411.136346. FT-IR (cm⁻¹): 2223, 1548, 1521, 1498, 1471, 1397, 1373, 1362, 1303, 1280, 1253, 1214, 1191, 1093, 837, 650. Anal. Calcd for C₂₂H₁₅N₃O: C, 81.73; H, 4.16; N, 10.21; Found: C, 81.84; H, 4.08; N, 10.17.

Methods

Chemical characterisation. ¹H and ¹³C NMR spectra were recorded on Bruker Advance 500 spectrometer (500 MHz for ¹H and 125 MHz for ¹³C). The deuterated solvents are indicated; chemical shifts, δ , are given in ppm, referenced to the solvent residual signal (¹H, ¹³C). Coupling constants (*J*) are given in Hz. MS were recorded on ThermoElectron MAT 900 using electron impact (EI) ionization technique. FT-IR spectra were recorded using a Perkin-Elmer Spectrum 65 FT-IR spectrometer with solid-state samples. Elemental analyses were carried out by Stephen Boyer at London Metropolitan University using a Carlo Erba CE1108 Elemental Analyser.

Electrochemical characterisation. All cyclic voltammetry measurements were carried out in freshly distilled CH₂Cl₂ using 0.1 M [TBA][PF₆] electrolyte in a three-electrode system, with each solution being purged with N₂ prior to measurement. The working electrode was a Pt disk. The reference electrode was Ag/AgCl and the counter electrode was a Pt rod. All measurements were made at r.t. using an μ AUTOLAB Type III potentiostat, driven by the electrochemical software GPES. Cyclic voltammetry (CV) measurements used scan rates of 25, 50, 100, 200 and 500 mV/s, and differential pulse voltammetry (DPV) was carried out at a step potential of 10 mV, modulation

amplitude of 100 mV, modulation time of 0.05 s and an interval time of 0.5 s, giving a scan rate of 20 mV/s. Ferrocene was used as internal standard in each measurement.

Optical characterisation. Solution UV-Visible absorption spectra were recorded using Jasco V-670 UV/Vis/NIR spectrophotometer in various solvents controlled using the SpectraManager software. All samples were measured in a 1 cm quartz cuvette cell at room temp.

Computational details. The molecular structures were optimized first in vacuum without any symmetry constraints, followed by the addition of solvation contribution *via* a conductor-like polarizable continuum model (C-PCM).¹⁸ The presence of local minimum was confirmed by the absence of imaginary frequencies. All calculations were carried out using the Gaussian 09 programme¹⁹ with the Becke three parameter hybrid exchange, Lee Yang-Parr correlation functional (B3LYP) level of theory. All atoms were described by the 6-31G(d) basis set. All structures were input and processed through Avogadro software package.²⁰ The lowest 10 singlet excited states were calculated with the time-dependent DFT (TD-DFT) formalism, as implemented in Gaussian 09^{21, 22} and processed with GaussSum software package.²³ In order to evaluate the differences between the dipole moments of the excited state and that of the ground state ($\Delta\mu_{ge}$), we simulated the Stark effect by TD-DFT methods, taking into account the difference in the lowest transition energies calculated with and without an external electric field of ± 0.0005 a.u. of strength.²⁴

Crystallographic details. Crystallographic data were collected on an Agilent Technologies SuperNova with Cu K α (λ = 1.54178 Å) radiation at 120 K. Single crystals suitable for X-ray diffraction (XRD) were prepared by slow evaporation of CHCl₃ for Anth_TCF and CH₂Cl₂ for Pyr_TCF. A summary of data collection and structure refinement is reported in Table 1. Programs used were: data collection and reduction, CrysAlisPro;²⁵ structure solution, Sir92;²⁶ structure refinement, SHELXTL.²⁷ The crystal structures were deposited at CCDC with deposition number 933499 and 933500 for Anth_TCF and Pyr_TCF, respectively.

Table 1. X-ray crystallographic data for **Anth_TCF** and **Pyr_TCF**.

	Anth_TCF	Pyr_TCF
emp. form.	C ₂₆ H ₁₇ N ₃ O	C ₂₈ H ₁₉ N ₃ O·CH ₂ Cl ₂
MW	387.43	498.39
colour, habit	black, rhombus	purple, block
cryst size / mm	0.08 × 0.04 × 0.01	0.239 × 0.207 × 0.016
cryst syst	Monoclinic	monoclinic
space group	P2 ₁ /c	P2 ₁ /c
<i>a</i> / Å	10.3685(7)	22.424(4)
<i>b</i> / Å	26.7860(14)	15.2492(19)
<i>c</i> / Å	7.3961(6)	6.8252(13)
β / °	104.892(8)	98.75
<i>V</i> / Å ³	1985.1(2)	2306.7(7)
<i>Z</i>	4	4
ρ / g cm ⁻³	1.296	1.435
μ / mm ⁻¹	0.639	2.761
θ range / °	3.3 to 67.1°	3.5 to 53.3°
<i>n</i> ° of rfln, unique	14424, 3539	20014, 2555
compl. to θ_{max} / %	100	61.2
<i>R</i> ₁	0.0505	0.2111
w <i>R</i> ₂	0.1075	0.4705
GooF	1.040	2.793

NLO measurements. The second order nonlinear optical properties of **Anth_TCF** and **Pyr_TCF** were assessed at a molecular level by means of the Electric Field Induced Second Harmonic generation (EFISH) technique,²⁸⁻³⁰ using a prototype apparatus made by SOPRA (France), and working with a 1.907 μ m incident wavelength. For each chromophore, experiments were performed on freshly prepared solutions in CHCl₃ at 5 × 10⁻⁴ M concentration. On **Pyr_TCF** a measurement in CHCl₃ with addition of pyridine (1.6% in volume with respect to CHCl₃ and 200 molar ratio with respect to **Pyr_TCF**) was also performed. The addition of pyridine allowed us to work at higher concentration than in neat CHCl₃ (10⁻³ M).

The 1.907 μ m laser incident wavelength was chosen because its second harmonic (at 953 nm) is far enough from the absorption bands of both **Anth_TCF** and **Pyr_TCF** in CHCl₃ (λ_{max} = 540 and 533 nm, respectively, see Table 3 and Fig. 4), to avoid any enhancement of the second order non-linear optical response due to resonance. The incident beam was obtained by Raman shifting the 1.064 μ m emission of a Q-switched Nd:YAG laser in a high pressure hydrogen cell (60 bar). A liquid cell with thick windows in the wedge configuration was used to obtain the Maker fringe pattern (harmonic intensity variation as a function of liquid cell translation). In the EFISH experiments the incident beam was synchronized with a DC field applied to the solution, with 60 and 20 ns pulse duration respectively in order to break its centrosymmetry. From the concentration dependence of the harmonic signal with respect to that of the pure solvent, the NLO responses were determined (assumed to be real because the imaginary part was neglected) from the experimental value γ_{EFISH} , through equation (1):

$$\gamma_{EFISH} = \frac{\mu\beta_{\lambda}(-2\omega; \omega, \omega)}{5kT} + \gamma(-2\omega; \omega, \omega, 0) \quad (1)$$

where γ_{EFISH} is the sum of a cubic electronic contribution $\gamma(-2\omega; \omega, \omega, 0)$ and of a quadratic orientational contribution $\mu\beta_{\lambda}(-2\omega; \omega, \omega)/5kT$, μ being the ground state dipole moment, and β_{λ} the projection along the dipole moment direction of the vectorial component β_{vec} of the tensorial quadratic hyperpolarisability working with the incident wavelength λ . For molecules with one dominant ICT transition along the donor-acceptor axis, the third order electronic contribution to γ_{EFISH} can safely be neglected.³⁰

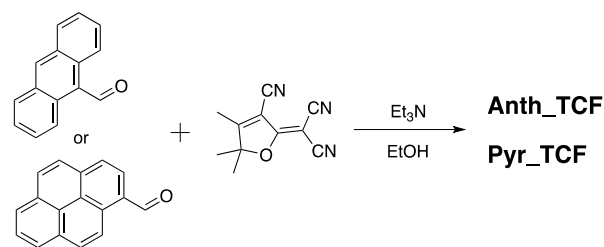
The $\mu\beta_{\lambda}$ reported in Table 4 are the mean values of twelve successive measurements performed on the same sample. The sign of $\mu\beta_{\lambda}$ is determined by comparison with the reference solvent.

All experimental EFISH β_{λ} values are defined according to the “phenomenological” convention.³¹ EFISH experiments were carried out in the Chemistry Department of the University of Milano (Italy).

Results and discussion

Synthesis

TCF derivatives have been obtained by reacting the corresponding polyaromatic hydrocarbon aldehyde with the TCF moiety in the presence of catalytic base in ethanol as shown in Scheme 1.³² The solubility of **Pyr_TCF** was limited and NMR could not be obtained. However, proper identification was achieved by high-resolution mass spectrometry, FT-IR showing the nitrile stretch vibration at 2230 cm⁻¹ (Fig. S3) and X-Ray diffraction (Fig. 2).

**Scheme 1.** Synthetic procedure for anthracene and pyrene TCF derivatives.

X-ray structures

Molecular structures of compounds **Anth_TCF** and **Pyr_TCF** are shown in Fig. 2. Obtaining satisfactory single crystal X-ray diffraction data for **Pyr_TCF** was difficult. The compound was recrystallised by different methods on several occasions with each attempt consistently yielding thin plate crystals. The observed diffraction pattern each time was badly streaked, a likely result of plate stacking faults, and in most cases was unindexable. Eventually a set of crystals were obtained by slow evaporation of a CH₂Cl₂ solution; a crystal was found from which the measured diffraction was sufficient to allow for a unit cell determination and subsequently a data collection. The measured data set was very weak thus the data set was cut at 1.3 Å resolution. Although the structure solved easily by direct methods the poorly crystalline nature of the compound resulted

in a refinement model with high residuals, $R_1 = 23.95\%$ and $wR_2 = 48.19\%$. The data quality is sufficient to allow for unambiguous identification of the covalently bonded species in the asymmetric unit and the structure is reasonable enough to fit least squares planes through the pyrene atoms and determine the distance between them. The poor data resolution reduces the precision of the derived bond distances and angles, but does not affect the overall covalently-bonded picture of the molecule, the stacking pattern or the torsion angle. We note that the inclusion of CH_2Cl_2 in the lattice is consistent with the inability to obtain reasonable-quality crystals from other solvents.

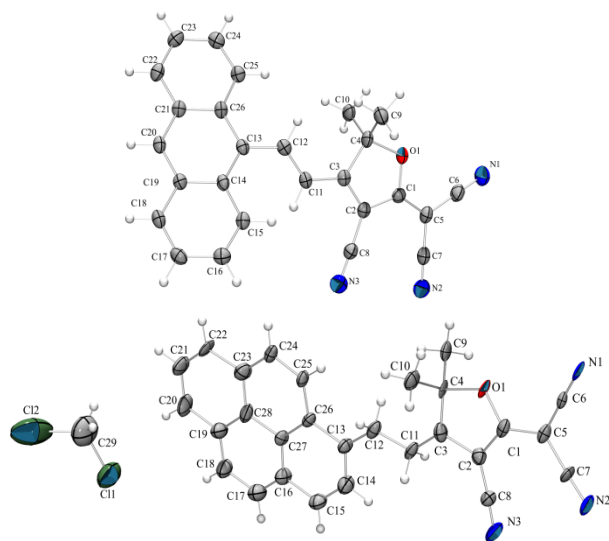


Figure 2. ORTEP drawings of **Anth_TCF** (top) and **Pyr_TCF** (bottom) with thermal ellipsoids drawn at the 50% probability level.

The crystal packing of **Anth_TCF** and **Pyr_TCF** is depicted in Fig. 3. The packing did not follow a head-to-tail motif, as expected for non-symmetrical molecules.^{33, 34} This is probably due to the steric hindrance corresponding to the methyl units on the TCF moiety, as observed in a related naphthalene derivative previously.¹⁷ For our anthracene and pyrene derivatives, polycyclic aromatic units overlapped each other giving an intermolecular distance of 3.47 and 3.41 Å for **Anth_TCF** and **Pyr_TCF**, respectively. Those short intramolecular distances denote a strong π - π interaction between the polycyclic aromatic moieties.

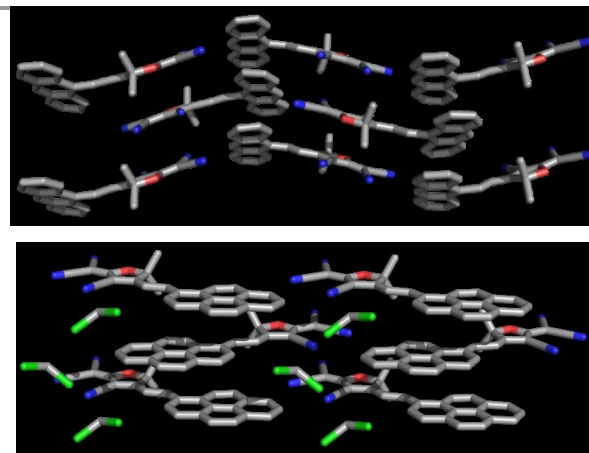


Figure 3. Crystal packing of **Anth_TCF** (top) and **Pyr_TCF** (bottom). Hydrogens have been omitted for clarity.

Despite the similar packing pattern and intermolecular distances, there is a major difference in the molecular structures related to the torsion angle between the polycyclic aromatic moiety and TCF unit. For **Anth_TCF**, there is a remarkable twist of approximately 33.8 degrees between anthracene and TCF units. This is mainly due to the steric hindrance of 9-substituted anthracene derivatives. On the other hand, **Pyr_TCF** shows a minor twist angle smaller than 1 degree. This effect is also reflected in the DFT calculations and has a potential effect on the non-linear optical properties, as discussed below.

Electrochemical properties

Cyclic voltammetry (CV) experiments were performed in CH_2Cl_2 solution, showing an irreversible behaviour for the reduction process (Fig. S4). The reduction processes is assigned to take place at the TCF moiety, and as expected this process occurred at the same potential for the two molecules. On the other hand, oxidation cannot be reliably measured in the CV experiments because that process occurs on the edge of the solvent electrochemical window. Therefore, differential pulse voltammetry (DPV) was used in order to elucidate the oxidation processes (Fig. 4). Results are shown in Table 2. Several points should be highlighted: *i*) the irreversibility of the reduction processes can be confirmed by DPV due to both peak shift and intensity after scanning forward and backwards; *ii*) a single oxidation process can be observed for **Anth_TCF** whereas two oxidation processes occurred for **Pyr_TCF**; *iii*) due to the lack of CV data for oxidative processes, we cannot elucidate the reversibility of this process; and *iv*) the electrochemical gap is very similar for both compounds, which is in a good agreement with optical and computational results (see discussion below).

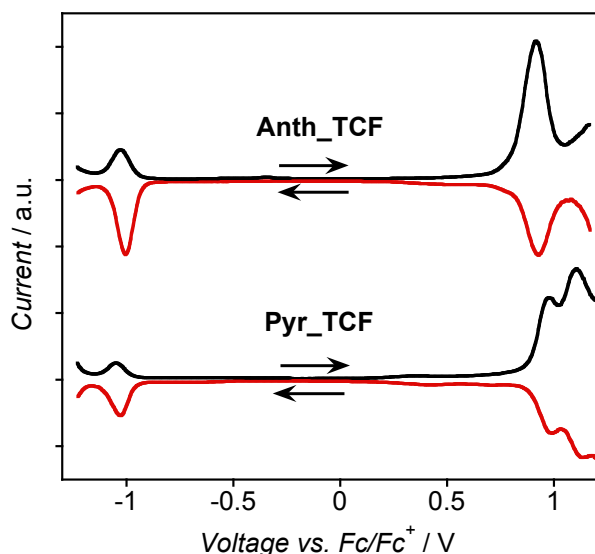


Figure 4. Differential pulse voltammetry of **Anth_TCF** (top) and **Pyr_TCF** (bottom) recorded in CH_2Cl_2 solution containing 0.1 M [TBA][PF₆] and referenced to ferrocene. Arrows indicate the direction of scan.

Table 2. Electrochemical data measured in CH_2Cl_2 , 0.1 M [TBA][PF₆], and referenced to ferrocene.

	E_a (V) ^a	E_c^1 (V) ^a	E_c^2 (V) ^a	E_{gap} (V) ^b
Naph TCF	-1.01	+0.92	n/o ^c	1.93
Pyr TCF	-1.03	+0.98	+1.11	2.01

^a Irreversible electrochemical wave. ^b Electrochemical band gap: $E_{\text{gap}} = E_c^1 - E_a$. ^c non observed.

Optical properties and EFISH measurements

UV-Visible spectra have been recorded in a variety of solvents. The intense absorption in the visible region can be assigned to an ICT from the π -conjugated system to the electron acceptor unit, as previously reported for the analogous naphthyl derivative¹⁷ and hereafter supported by the theoretical calculations. For both compounds, remarkable solvatochromic behaviour is evident (Fig 5). This is expected for such donor-acceptor molecules and indicates a significant difference in charge distribution between the ground and excited states.³⁵ The hypsochromic shift of the absorption maximum (λ_{max}) with increasing solvent polarity (*i.e.* negative solvatochromism) implies that the dipole moment of the excited state is lower than that of the ground state (Table 3),³⁶ as also supported by the negative $\Delta\mu_{\text{eg}}$ values computed for both compounds (Table 5).

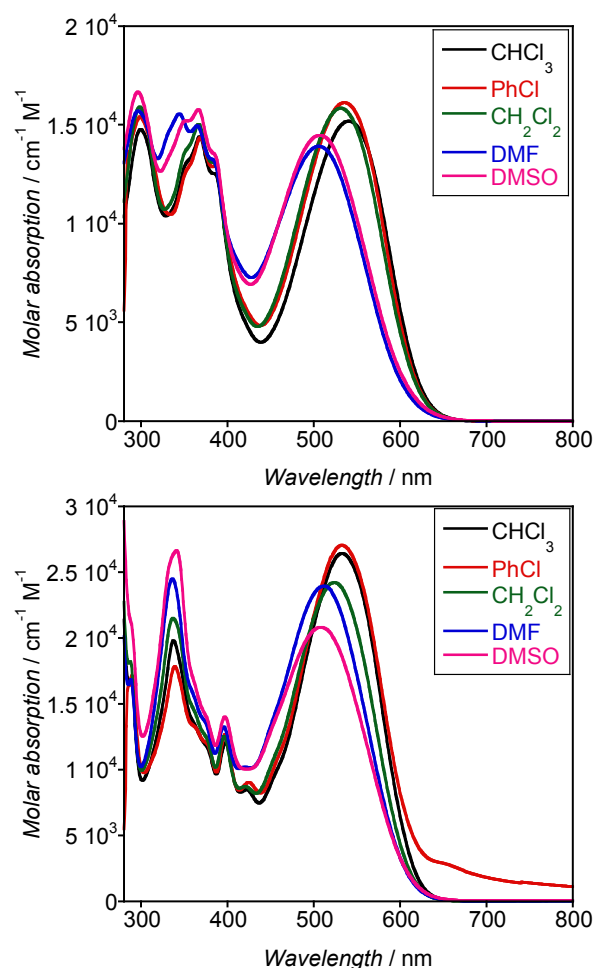


Figure 5. UV-Visible absorption spectra of **Anth_TCF** (top) and **Pyr_TCF** (bottom) in various solvents acquired at 5×10^{-5} M.

It is worth noting that **Pyr_TCF** shows a higher (nearly double) molar absorption coefficient (ϵ) compared to **Anth_TCF** in a variety of solvents, probably due to the extra conjugation for **Pyr_TCF**. However, no significant difference has been observed in λ_{max} for both molecules, as shown in Table 3 and Fig 5. We should note the extra shoulder observed for **Pyr_TCF** in neat chlorobenzene at 650 nm. In this case, solubility issues have been found at the UV-Visible working concentration (5×10^{-5} M) and for this solvent only, we could attribute this extra band to the formation of **Pyr_TCF** aggregates.

Table 3. Absorption maximum (λ_{max}) and molar absorption coefficient (ϵ) for **Anth_TCF** and **Pyr_TCF** in different solvents.

Solvent (ϵ_r)	Anth TCF		Pyr TCF	
	$\lambda_{\text{max}} / \text{nm}$	$\epsilon / \text{cm}^{-1} \text{M}^{-1}$	$\lambda_{\text{max}} / \text{nm}$	$\epsilon / \text{cm}^{-1} \text{M}^{-1}$
CHCl_3 (4.8)	540	15 200	533	26 570
PhCl (5.6)	535	16 150	532	27 140
CH_2Cl_2 (8.9)	531	15 840	532	24 340
DMF (36.7)	505	13 900	511	24 090
DMSO (46.7)	507	14 500	508	20 850

The investigated compounds display negative $\mu\beta_{1.907}$ EFISH responses and data are reported in Table 4. The EFISH response is clearly sensitive to the local environment, as previously reported both theoretically^{37, 38} and experimentally³⁹ for push-pull polyenes.

Table 4. EFISH $\mu\beta_{1.907}$ for **Anth_TCF** and **Pyr_TCF** in CHCl_3 (5×10^{-4} M) and CHCl_3 with addition of pyridine (10^{-3} M solution).^a

Compound	$\mu\beta_{1.907}$ ($\times 10^{-48}$ esu) CHCl_3	$\mu\beta_{1.907}$ ($\times 10^{-48}$ esu) $\text{CHCl}_3 + \text{py}$
Anth_TCF	– 85	###
Pyr_TCF	– 600	– 1700

^aThe uncertainty of the measurement is between ± 5 and $\pm 10\%$.

The Oudar's "two-state" expression of hyperpolarisability states that:

$$\beta \propto \frac{\mu_{eg}^2 \Delta\mu_{eg}}{\Delta E_{eg}^2} \quad (2)$$

where μ_{eg} is the transition dipole moment, $\Delta\mu_{eg}$ the difference between the excited and the ground state dipole moments ($\mu_e - \mu_g$) and ΔE_{eg} the transition energy. A negative value of β is therefore related to a ground state dipole moment higher than that of the excited state, as occurs in the case of push-pull polyenes for which the ground state shows a more significant charge separated character in comparison to the excited state.⁴⁰ The EFISH experimental findings are therefore in perfect agreement with the negative $\Delta\mu_{eg}$ values computed for both **Anth_TCF** and **Pyr_TCF** (Table 5) taking into account the contribution of the solvent (in this case, CHCl_3) by means of the C-PCM method ($\Delta\mu_{eg} = -8.41$ and -8.52 D, respectively) and they are supported by the negative solvatochromism of the NLO-phores.

In neat CHCl_3 the $\mu\beta_{1.907}$ absolute value of **Pyr_TCF** is *ca.* 7 times higher than that of **Anth_TCF**.

The lower $|\mu\beta_{1.907}|$ value recorded for **Anth_TCF** could be ascribed to the non perfect planarity of the compound, as confirmed by the twist angle of 33.8 degrees between the anthracene donor and the TCF acceptor unit observed in the XRD experiments. This distortion from planarity also affects the molar absorption coefficients of the ICT absorption band responsible of the NLO response of the investigated chromophores, which is nearly twice higher for the pyrene derivative (Table 3). In accordance, the more planar π -conjugated architecture of **Pyr_TCF** (twist angle lower than 1 degree) produces higher $\mu\beta_{1.907}$ values, as predicted by both the "two-level" model^{10, 11} and by theoretical computations. The same behaviour was also evident in the case of 2-phenyl-benzoxazole derivatives,⁴¹ push-pull benzimidazoles,⁴² zwitterionic merocyanines⁴³ and push-pull spirobifluorene compounds.⁴⁴

The well known tendency of pyrene and its derivatives to form aggregates by π - π stacking of the aromatic rings⁴⁵⁻⁴⁷ and the recent report of a specific interaction between the pyrene

fragment and the chlorinated hydrocarbon CHCl_3 , consisting of the formation of a complex with a charge transfer transition between the unoccupied halogen *d*-orbitals and the π -electron system of the aromatic component,³⁸ prompted us to investigate the EFISH response of **Pyr_TCF** also in CHCl_3 with addition of pyridine.

In this environment the $|\mu\beta_{1.907}|$ of **Pyr_TCF** is enhanced *ca.* three times in comparison to neat CHCl_3 . This latter evidence, combined with the higher solubility of **Pyr_TCF** in the presence of pyridine, seems to support the hypothesis that in pure CHCl_3 solution **Pyr_TCF** is mainly in the form of an aggregate species (through the π - π stacking of two contiguous chromophoric units favoured by the low polarity solvent), characterized by a lower second order NLO response. The addition of pyridine breaks the aggregation with a consistent enhancement of the $|\mu\beta_{1.907}|$ values. This aspect deserves further investigation, which is currently underway. Finally, it is worth noting that the $\mu\beta_{1.907}$ value of -1700×10^{-48} esu recorded for the **Pyr_TCF** derivative in CHCl_3 with pyridine is remarkable for a NLO chromophore lacking a classical push-pull structure.

3.5 Computational studies

The electronic properties of TCF derivatives have been further investigated by DFT and TD-DFT calculations. The molecular structures were optimized using the X-Ray structures as the starting point first in vacuum and then by taking into account the contribution of the solvent by means of the C-PCM method. There is good agreement between the calculated and experimental geometries, but particular attention should be focused on the torsion angle between the polycyclic aromatic moiety and TCF. In this case, the predicted torsion angles of approx. 42.5 and 0.1 degrees reproduce qualitatively the observed experimental values of 33.8 and 0.7 for **Anth_TCF** and **Pyr_TCF**, respectively. As can be seen in Fig. 6, the HOMO lies mainly on the polycyclic aromatic moiety whereas the LUMO is located on the electron-withdrawing TCF unit and ethylene bridge. The incorporation of the solvent *via* C-PCM has an important effect on the energy levels compared to gas phase, obtaining more accurate HOMO and LUMO energy levels in comparison with those experimentally derived from the electrochemistry. Furthermore, the increase of the ground-state polarity due to the solvent is also confirmed by enhancement of the calculated dipolar moments in solvents compared to those in the gas phase (Table 5).

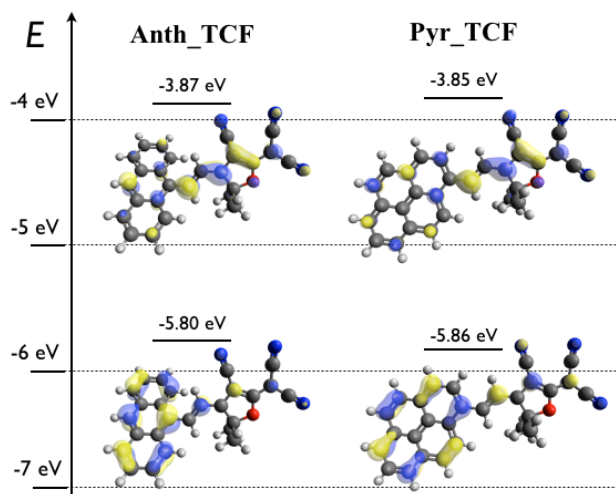


Figure 6. Molecular orbital distribution of HOMO (*bottom*) and LUMO (*top*) of **Anth_TCF** and **Pyr_TCF** at B3LYP/6-31G(d) level of theory (isodensity = 0.04). Absolute HOMO and LUMO values are experimentally obtained from electrochemical means following the equation $E_{\text{HOMO/LUMO}}$ (eV) = $-4.88 - E_{\text{OX/RED}}$ (V vs Fc/Fc⁺).⁴⁸

Table 5. DFT and TD-DFT parameters calculated at B3LYP/6-31(d) level of theory in different phases and solvents (*via* C-PCM).

Comp ound		Gas phase $\epsilon = 0$	CHC I ₃ $\epsilon =$ 4.711 3	PhCl $\epsilon =$ 5.696 8	CH ₂ C I ₂ $\epsilon =$ 8.93	DMF $\epsilon =$ 37.21 9	DMS O $\epsilon =$ 46.82 6
Anth TCF	E_{HOMO}^a	-5.84	-5.63	-5.62	-5.59	-5.56	-5.56
	E_{LUMO}^a	-3.35	-3.24	-3.24	-3.22	-3.21	-3.21
	μ^b	13.96	18.12	18.44	18.97	19.75	19.80
	λ_{max}^c	556	605	610	609	613	613
	f^d	0.4965	0.630 7	0.653 2	0.637 2	0.644 4	0.642 0
	$\Delta\mu_{\text{ge}}^e$	-6.59	-8.41	-8.39	-8.51	-8.67	-8.67
Pyr TCF	E_{HOMO}	-5.89	-5.70	-5.69	-5.67	-5.63	-5.63
	E_{LUMO}	-3.39	-3.27	-3.26	-3.25	-3.24	-3.23
	μ	16.07	20.93	21.25	21.85	22.74	22.80
	λ_{max}	513	564	569	567	571	571
	f	1.2273	1.423 3	1.449 0	1.403 3	1.397 8	1.391 5
	$\Delta\mu_{\text{ge}}$	-5.13	-8.53	-8.69	-9.03	-9.48	-9.50

^aEnergy in eV vs vacuum. ^bGround state dipole moment in Debye. ^cFirst vertical electronic transition in nm. ^dOscillator strength. ^eCalculated with the finite field approach and expressed in Debye.

TD-DFT calculations were performed in order to examine the electronic transition origins and characteristics and they are summarised in Table 5. In fact, vertical excitation energies showed that the first singlet excitation energy is responsible for the main absorption band. According to the distribution of the frontier orbitals (Table S1), it can be concluded that the HOMO to LUMO transition will be an ICT from the polycyclic aromatic moiety to the TCF unit. The λ_{max} for both chromophores were overestimated by *ca.* 60 and 40 nm for **Anth_TCF** and **Pyr_TCF** respectively, but the calculations qualitatively described the larger oscillator strength (f) and

therefore agree with the higher molar absorption obtained for the co-planar **Pyr_TCF** molecule.

TD-DFT calculations were also performed in different solvents with a gradual increment in simulated solvent electric fields (CHCl₃ < PhCl < CH₂Cl₂ < DMF < DMSO) to investigate the effect of solvent polarity on the simulated absorption. In this case, the negative solvatochromic behaviour is well reproduced, where λ_{max} decreased upon an increment of solvent polarity. Finally, in order to find the difference between the excited and ground state dipole moments ($\Delta\mu_{\text{eg}}$) we performed TD-DFT calculations in the presence and absence of external electric field, where the molecular dipole and electric field are aligned to the *z*-axis.²⁴ $\Delta\mu_{\text{eg}}$ obtained were negative for all solvents, confirming the negative solvatochromic effect and the negative $\mu\beta_{\lambda}$ non-linear optical activity for both compounds.

Conclusions

New polycyclic aromatic hydrocarbons containing the TCF group have been synthesised and studied both experimentally and theoretically in order to elucidate the difference in non-linear optical activity. Both compounds showed very similar absorption maxima, solvatochromic effect and electrochemical properties, however a 20-fold higher non-linear optical activity was found for **Pyr_TCF**. We attribute this huge difference to the higher molar absorption in DMF of **Pyr_TCF** (24 090 cm⁻¹ M⁻¹) compared to **Anth_TCF** (13 900 cm⁻¹ M⁻¹), likely arising from the large torsion angle between the polyaromatic unit and TCF obtained for the latter.

Notes and references

Electronic Supplementary Information (ESI) available: ¹H and ¹³C NMR spectra, FT-IR traces, cyclic voltammetry scans and extended TD-DFT orbital contribution. See DOI: 10.1039/b000000x/

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